

RECEIVED

FEB 07 2002

TECH CENTER 1609/2900

Application No.	Applicant(s)	
09/517898	Volgels et al	
Examiner	Art Unit	
Q. JANICE LI	1632	

**Notice to Comply**

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: Sequences in figures 4, 7A, 7B do not have sequence ID numbers, separate paper listing and computer readable form copy.

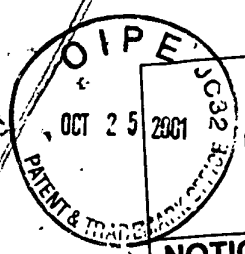
**Applicant Must Provide:**

- ☒ An initial computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
PatentIn Software Program Support  
Technical Assistance.....703-287-0200  
To Purchase PatentIn Software.....703-306-2600

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY**





**PATENT**  
**3982.2US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**

**FEB 07 2002**

**TECH CENTER 1600/29**

**In re Application of:**

**Vogels et al.**

**Serial No.: 09/517,898**

**Filed: March 3, 2000**

**For: MEANS AND METHODS FOR  
FIBROBLAST-LIKE OR MACROPHAGE-  
LIKE CELL TRANSDUCTION**

**Examiner: Q. Janice Li**

**Group Art Unit: 1632**

**Attorney Docket No.: 3982.2US**

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EL740547258US  
Date of Deposit with USPS: October 25, 2001  
Person making Deposit: Daniel Thatcher

**STATEMENT UNDER 37 C.F.R. §§ 1.821(g) and 1.825**

Assistant Commissioner for Patents  
Washington, D.C. 20231

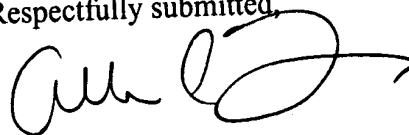
Sir:

I, Allen C. Turner, an attorney registered to practice before the United States Patent & Trademark Office and attorney of record for this application, state that:

1. The enclosed paper copy of the substitute SEQUENCE LISTING, as well as the enclosed copy of the substitute SEQUENCE LISTING in computer readable form (CRF), have been corrected to comply with the requirements of 37 C.F.R. §§ 1.822 and/or 1.832.

2. The enclosed copy of the substitute SEQUENCE LISTING in computer readable form (CRF) is believed to be the same as the paper copy of the substitute SEQUENCE LISTING.
3. The amendments, made in accordance with 37 CFR 1.825(a), included in the substitute sheets of the Sequence Listing are supported in the application, as filed, in FIGS. 4, 7A and 7B. The SEQUENCE LISTING submitted herewith is believed to contain no "new matter" with regard to the referenced patent application.

Respectfully submitted,



Allen C. Turner  
Registration No. 33,041  
Attorney for Applicants  
TRASK BRITT  
P. O. Box 2550  
Salt Lake City, Utah 84110  
Telephone: (801) 532-1922

Date: October 25, 2001